

CDBG RISK ASSESSMENT FORM	Max Points
1. Project Complexity	7.5
Description of program, size of dollar amount requested, requested funding as a percent of Total Program Budget	
2. Project Experience	10
Subrecipient's prior experience with this size and type project 0-2 years = 10 points 3-5 years = 5 points > 5 years = 0 points	
3. Regulatory Compliance Required	15
Davis-Bacon Act, Acquisition, Relocation, Historic Preservation = 3 points each Lead-Based Paint = 3 points	
4A. Housing Project	15
a. Computing household income to qualify beneficiaries = 5 points	
b. Number of units > 7 = 5 points	
4B. Economic Development	15
a. Determining eligibility for beneficiaries = 5 points	
b. > 5 jobs to be created or retained = 5 points	
c. Providing direct loans = 5 points Providing direct grants = 3 points Providing technical assistance = 0 points	
5. Potential Environmental Concerns	5
"yes" answers to environmental questions in application	
6. Program or Project Funding	5
CDBG funds only = 5 points (0 if CPA statement* provided)	
Other sources of funds indicated, but not committed = 2.5 points (0 if CPA statement* provided)	
Other funds committed = 0 points	
*CPA statement that the entity has enough financial capacity to complete the project or program on a reimbursement basis	
7. Subrecipient Organization	5
Well established, but no prior CDBG or Federal experience = 2.5 points	
Prior experience with CDBG or other Federal programs = 0 points	
check: If they say they currently have federal grants, are they listed in the financial statement by CFDA #? If not they are not in compliance with regulations.	
8. Subrecipient History, If Previously Funded	22.5
Monitoring findings or concerns in both of the past two years = 5 points (fixed at time of monitoring = 2.5 points)	

Monitoring findings or concerns in year one only of the past two years = 2.5 points (fixed at time of monitoring = 1 point)	
Project over budget in both of the past two years = 5 points	
Project over budget in year one only of the past two years = 2.5 points	
Project exceeded schedule in both of the past two years= 5 points	
Project exceeded schedule in year one only of the past two years = 2.5 points	
Ability to deliver project within budget and on schedule = 0 points	
Any special contract conditions needed = 2.5 points	
Substantiated citizen or beneficiary complaints in the past two years = 5 points	

100

Risk Categories:

80 or higher = High, 40 – 79 = Moderate, 0 – 39 = Low

Risk Evaluated by:
