

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	TX-2021-049-00
<b>Temporary Application Number</b>	7362-2021-1
<b>Application Name</b>	San Marcos FY2018/2019/2020 Bus and Bus Facilities 5339- Buses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2024
<b>Current Period of Performance End Date</b>	N/A      Revision #: N/A

## Part 1: Recipient Information

**Name: San Marcos, City Of**

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7362	City	City of San Marcos	069462869

Location Type	Address	City	State	Zip
Headquarters	630 E Hopkins St	San Marcos	TX	78666
Physical Address	630 E Hopkins St	San Marcos	TX	78666
Mailing Address	Finance Department	SAN MARCOS	TX	78666

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: San Marcos FY2018/2019/2020 Bus and Bus Facilities 5339-Buses</b>
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FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
TX-2021-049-00	Final Concurrence / Reservation	Grant	4/23/2021	4/23/2021	No

**Application Executive Summary**

This application is funded by the FY2018 (131,534), FY2019 (103,717), and FY2020 (131,475) awards of small urban Section 5339, Bus and Bus Facilities funds in the amount of \$366,726 for the acquisition of bus rolling stock for San Marcos urbanized area operations for up to three 25 ft buses for replacements. The Texas Department of Transportation (TxDOT) has awarded, to the COSM, Transportation Development Credits (TDCs) as local match in the amount of \$55,200. The buses will be used throughout the San Marcos urbanized area and support State of Good Repair.

The FY2018 funds are set to lapse in September 2021. The City of San Marcos has submitted a Transportation Improvement Program (TIP) amendment for this project, administered by the Capital Area Metropolitan Planning Organization.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
	luciana.nears@dot.gov	Transportation Program Specialist.		
Pete	Binion	Transit Manager	pbinion@sanmarcostx.gov	(512) 393-8451

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$366,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$55,200
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$366,726</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TX-2021-049-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$366,726.00	\$0.00	\$366,726.00	3
TX-2021-049-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$366,726.00	\$0.00	\$366,726.00	3

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: San Marcos Rolling Stock - Urbanized**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TX-2021-049-01-00	7362-2021-1-P1	4/23/2021	10/1/2021	3/24/2024

#### Project Description

Acquisition of Rolling Stock to support transit services throughout the San Marcos urbanized area. These vehicles will replace the following:

Vehicle ID License Year In Service VIN

2564 133-2832 2008 1FD4E45S68DA46341

2625 116-4858 2013 1FD4E45S68DA46341

2620 136-9055 2012 1FD4E45S68DA46341

#### Project Benefits

Rolling stock will provide access to services throughout the service area. Maintain State of Good Repair.

#### Additional Information

*None provided.*

#### Location Description

The San Marcos Urbanized Area is located in Hays county in central Texas approximately 40 miles south of Austin, Texas. It is comprised of the City of San Marcos, an area in the contiguous county of Caldwell known as Martindale, and a section of Guadalupe county known as Redwood.

### Project Location (Urbanized Areas)

UZA Code	Area Name
482010	San Marcos, TX

### Congressional District Information

State	District	Representative
Texas	35	Lloyd Doggett
Texas	21	Chip Roy

### Program Plan Information

#### STIP/TIP

Date: 4/16/2018

Description: This project qualifies under the Texas STIP Grouped Project List. The Capital Area Metropolitan Planning Organization will add this project through amendment and action by the CAMPO Transportation Policy Board. The City of San Marcos has submitted the TIP amendment request.

#### UPWP

Date: N/A  
Description: N/A

### Long Range Plan

Date: N/A  
Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$366,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$55,200
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$366,726</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TX-2021-049-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$366,726.00	\$0.00	\$366,726.00	3
TX-2021-049-01-00	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$366,726.00	\$0.00	\$366,726.00	3

## Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	3

### Extended Budget Description

Funds will be expended on up to three ADA accessible 25 Ft. buses for transit service to enhance service delivery and SGR. useful life 4yr/150k miles.

The recipient certifies that the replacement vehicles in the 5339 award will have met the useful life requirement prior to disposition. The Vehicles for replacement are:

Vehicle ID License Year In Service VIN

2564 133-2832 2008 1FD4E45S68DA46341

2625 116-4858 2013 1FDFE4FSXDDB36805

2620 136-9055 2012 1FDFE4FS0CDA36419

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	25 foot or less

Funding Source	Section of Statute	CFDA Number	Amount
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$366,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$55,200
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$366,726</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	10/29/2021	
Initial Delivery Date	10/29/2021	
Final Delivery Date	10/29/2022	
Contract Completion Date	3/24/2024	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	3	\$366,726.00	\$366,726.00

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

### Fleet Comments

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	6
	B. Spares	3
	C. Total (A+B)	9
	D. Spare Ratio (B/A)	50%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	9

**Fleet Type:** Paratransit

### Fleet Comments

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	4
	B. Spares	1
	C. Total (A+B)	5
	D. Spare Ratio (B/A)	25%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	5

**Fleet Type:** Other

**Fleet Comments**

40 foot Commuter Bus configuration

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	4
	B. Spares	1
	C. Total (A+B)	5
	D. Spare Ratio (B/A)	25%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	5

## Part 5: FTA Review Comments

### Application Review Comments

**Comment By** Luciana Nears

Comment Type Pre-Award Manager Returns Application

Date 6/11/2021

Comment

### Application Review Comments

**Comment By Terence Plaskon**

Comment Type Application Details

Date 6/13/2021

Comment

- Recipient must not acquire telecommunications and video surveillance equipment for rolling stock from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company; or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases in accordance with Section 889 of the 2019 National Defense Authorization Act.
- Recipients must not procure rolling stock from certain transit vehicle manufacturers. Refer to 49 U.S.C. Section 5323(u) of federal public transportation law, which limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from certain transit vehicle manufacturers. Refer to <https://www.transit.dot.gov/funding/procurement/frequently-asked-questions-regarding-section-7613-national-defense> for additional guidance.
- 49 CFR Part 26.49(a) states 'If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section.' FTA's current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>.
- 49 CFR Part 26.49(a)(4) states 'FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement.' The Transit Vehicle Award Reporting Forms can be found on FTA's website at <https://www.surveymonkey.com/r/vehicleawardreportsurvey>. Please take a screenshot of the completed report before submission and retain locally to demonstrate compliance with this requirement during oversight activities such as Triennial Review and/or State Management Reviews.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance, as they apply to acquiring rolling stock including 49 CFR Part 661, Buy America Requirements, 49 CFR 663, Pre-Award and Post-Delivery Audits, accessibility, minimum service life, spare ratios, air pollution and fuel economy, bus testing, in-state dealers, basis for contract award and five-year limitation.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.
- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.

## Part 6: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT  
(FTA G-28, February 9, 2021)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: San Marcos, City Of

Recipient ID: 7362

DUNS No: 069462869

#### **Application Information**

Federal Award Identification Number: TX-2021-049-00

Application Name: San Marcos FY2018/2019/2020 Bus and Bus Facilities 5339- Buses

Application Start Date: N/A

Original Award End Date: 6/30/2024

Current Award End Date: N/A

Application Executive Summary: This application is funded by the FY2018 (131,534), FY2019 (103,717), and FY2020 (131,475) awards of small urban Section 5339, Bus and Bus Facilities funds in the amount of \$366,726 for the acquisition of bus rolling stock for San Marcos urbanized area operations for up to three 25 ft buses for replacements. The Texas Department of Transportation (TxDOT) has awarded, to the COSM, Transportation Development Credits (TDCs) as local match in the amount of \$55,200. The buses will be used throughout the San Marcos urbanized area and support State of Good Repair.

The FY2018 funds are set to lapse in September 2021. The City of San Marcos has submitted a Transportation Improvement Program (TIP) amendment for this project, administered by the Capital Area Metropolitan Planning Organization.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Pre-Award Authority: This award is not using Pre-Award Authority.

### **Application Budget**

Total Application Budget: \$366,726.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$366,726.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$366,726.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

### **Application Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

<b>Funding Source</b>	<b>Section of Statute</b>	<b>CFDA Number</b>	<b>Amount</b>
5339 – Buses and Bus Facilities Formula	5339-1	20526	\$366,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$55,200
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$366,726</b>

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

### **U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: DOL Concurs - Certified

Original Certification Date: 6/25/2021

### **Special Conditions**

There are no special conditions.

### **FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

### **FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info:  
Award Date:

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

*San Marcos, City Of*