



## **FINANCE**

### **City of San Marcos Finance & Audit Committee**

**August 28, 2025, 2:00 PM**

#### **Broker/Dealer List**

The City is required to periodically approve the list of authorized broker/dealer pertaining to investing public funds. In accordance with the PFIA, before any broker/dealer transacts business with the City, it will have to certify in writing to a review of the Policy and have certified that procedures are in place to assure compliance with that policy.

#### **Proposed Broker/Dealer List:**

- Hilltop Securities, Inc
- Mischler Financial Group
- Cantor Fitzgerald & Company
- FTN Financial
- Citigroup Global Markets
- Wells Fargo Securities
- Stifel Financial
- UMB Financial Services
- Oppenheimer & Co. Inc